Approvals Group New Zealand Food Safety Ministry for Primary Industries Charles Fergusson Building, 34-38 Bowen St, Pipitea PO Box 2526, Wellington, New Zealand 6140 Tel: 04 894 2550 Email: approvals@mpi.govt.nz

## **New Zealand Food Safety**

Haumaru Kai Aotearoa

## **Application Form AP7** Recognition of a Person under the Animal Products Act 1999

### Before you start, let's check that you have everything you need:

- · You are filling in this form because you require recognition as an evaluator, verifier or assessor under the Animal Products Act 1999. To check that this form is correct for your intended recognition, view https://www.mpi.govt.nz/legal/approved-organisations-and-people/
- The application for according to the neumant acction of this for

•	Note: all fees on this form are inclusive of GST.
R	ead these notes before you start filling out the form:
•	Individuals may apply to the Director-General of MPI for recognition under section 103 of the Animal Product Act 1999 to carry out verification functions and activities or other specialist functions and activities for the purposes of that Act.
•	This icon is used when you need to make a decision. The question will help you decide whether you need to complete a particular section.
•	Throughout this form you will need to tick boxes that look like this:   . A checked box indicates a 'yes' answer.
•	Send the completed application form together with the fee and any other documentation required to MPI at the above address. We prefer email files. Processing time is up to 20 working days from the time we determine that your application is complete.
•	If there are any changes to the details provided in this application after the application has been sent to MPI, you must promptly inform us of the changes in writing.
	Frequently used terms
	GST = Goods and services tax
	KTP Model = Key Technical Person Model, the performance-based assessment scheme for the management of recognised persons by a recognised agency
	MPI = Ministry for Primary Industries
	RCS = Regulated Control Scheme
	RMP = Risk Management Programme

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# **Application Form AP7 Recognition of a Person under the Animal Products Act 1999**

Processing time is up to 20 working days from the time we determine that your application is complete.			
Question A: Are you applying for a new recognition or renewing/amending an existing recognition?			
<ul> <li>New → Continue straight to Section 2.</li> <li>Amendment → Complete Section 1, then all relevant sections and indicate clearly where details have changed.</li> <li>Renewal → Complete Section 1, then all relevant sections and indicate clearly where details</li> </ul>			
have changed (police vetting form not required			
Section 1. MPI Recognition Identification (a Your unique recognition identification which is 3-10 characters in	-,		
Recognition ID:			
Section 2. Applicant Details  Full name of person applying for recognition, and organisation of	of employment (where appropriate).		
Applicant full name			
Organisation			
Section 3. Business Address and Contact I	Details of Applicant		
Street/Physical (location of actual premises)	Postal, including post code (for communication)		
Address:	Address:		
Town/City:	Town/City:		
Postcode:	Postcode:		
Country:	Country:		
Phone	Mobile		
Email			
By entering an email address you consent to	being sent information and notifications electronically, if required.		

□ Voc → Complete Section 4				
	□ Vas Normalata Ocation A			
Yes → Complete Section 4.				
□ No → Continue to Question	C.			
Section 4. Evaluator Function	s and Activities table			
Tick all that apply.				
Function	Activity			
Heat Treatment Evaluation	☐ Dairy Heat Treatment			
☐ Premises and Equipment Evaluation	☐ Dairy Manufacturing, Stores and Transport			
☐ Dairy RMP Evaluation	☐ Dairy Stores and Transport ☐ Farm Dairies			
	General Dairy Manufacture			
	☐ Infant Formula Manufacture*			
	Raw Milk Products Manufacture*			
	*note person must be recognised, or applying for recognition, in general dairy manufacture to			
	include these Activities			
☐ Non-Dairy RMP Evaluation	General Non-Dairy (for all evaluators); and			
	<ul><li>☐ Aseptic processing</li><li>☐ Thermal processing of low acid canned products</li></ul>			
	☐ BMS depuration			
	E Bivio deparation			
Question C: Are you applying for recognition as a verifier?  ☐ Yes → Complete Section 5. ☐ No → Continue to Question D.				
☐ NO → Continue to Question L	).			
Section 5. Verifier Functions	and Activities table			
	s and Activities table			
Section 5. Verifier Functions Tick all that apply. Function	and Activities table  Activity			
Section 5. Verifier Functions Tick all that apply.	s and Activities table			
Section 5. Verifier Functions Tick all that apply. Function	and Activities table    Activity     Dairy Stores and Transport     Farm Dairies     General Dairy Manufacture (without heat treatment)			
Section 5. Verifier Functions Tick all that apply. Function	Activity  Dairy Stores and Transport Farm Dairies General Dairy Manufacture (without heat treatment) General Dairy Manufacture (with heat treatment)			
Section 5. Verifier Functions Tick all that apply. Function	Activity  Dairy Stores and Transport Farm Dairies General Dairy Manufacture (without heat treatment) General Dairy Manufacture (with heat treatment) Grade A product*			
Section 5. Verifier Functions Tick all that apply. Function	Activity  Dairy Stores and Transport Farm Dairies General Dairy Manufacture (without heat treatment) General Dairy Manufacture (with heat treatment) Grade A product* Infant Formula Manufacture*			
Section 5. Verifier Functions Tick all that apply. Function	Activity  Dairy Stores and Transport Farm Dairies General Dairy Manufacture (without heat treatment) General Dairy Manufacture (with heat treatment) Grade A product* Infant Formula Manufacture* *note: person must be recognised, or applying for recognition, in General Dairy Manufacture			
Section 5. Verifier Functions Tick all that apply. Function Dairy RMP Verification	Activity  Dairy Stores and Transport Farm Dairies General Dairy Manufacture (without heat treatment) General Dairy Manufacture (with heat treatment) Grade A product* Infant Formula Manufacture* *note: person must be recognised, or applying for recognition, in General Dairy Manufacture (with heat treatment) to include these Activities			
Section 5. Verifier Functions Tick all that apply. Function	Activity  Dairy Stores and Transport Farm Dairies General Dairy Manufacture (without heat treatment) General Dairy Manufacture (with heat treatment) Grade A product* Infant Formula Manufacture* *note: person must be recognised, or applying for recognition, in General Dairy Manufacture (with heat treatment) to include these Activities General Non-Dairy* (for all verifiers); or			
Section 5. Verifier Functions Tick all that apply.  Function  Dairy RMP Verification	Activity  Dairy Stores and Transport Farm Dairies General Dairy Manufacture (without heat treatment) General Dairy Manufacture (with heat treatment) Grade A product* Infant Formula Manufacture* *note: person must be recognised, or applying for recognition, in General Dairy Manufacture (with heat treatment) to include these Activities			
Section 5. Verifier Functions Tick all that apply.  Function  Dairy RMP Verification	Activity  Dairy Stores and Transport Farm Dairies General Dairy Manufacture (without heat treatment) Grade A product* Infant Formula Manufacture* *note: person must be recognised, or applying for recognition, in General Dairy Manufacture (with heat treatment) to include these Activities General Non-Dairy* (for all verifiers); or Limited - Bee Products; and			
Section 5. Verifier Functions Tick all that apply.  Function  Dairy RMP Verification	Activity  Dairy Stores and Transport Farm Dairies General Dairy Manufacture (without heat treatment) General Dairy Manufacture (with heat treatment) Grade A product* Infant Formula Manufacture* *note: person must be recognised, or applying for recognition, in General Dairy Manufacture (with heat treatment) to include these Activities General Non-Dairy* (for all verifiers); or Limited - Bee Products; and Ante-mortem/Post-mortem examinations Aseptic processing and packaging BMS Depuration			
Section 5. Verifier Functions Tick all that apply.  Function  Dairy RMP Verification	Activity    Dairy Stores and Transport   Farm Dairies   General Dairy Manufacture (without heat treatment)   General Dairy Manufacture (with heat treatment)   Grade A product*   Infant Formula Manufacture*  *note: person must be recognised, or applying for recognition, in General Dairy Manufacture (with heat treatment) to include these Activities   General Non-Dairy* (for all verifiers); or   Limited - Bee Products; and   Ante-mortem/Post-mortem examinations   Aseptic processing and packaging   BMS Depuration   Thermal processing of low acid canned products			
Section 5. Verifier Functions Tick all that apply.  Function  Dairy RMP Verification  Non-Dairy RMP Verification	Activity  Dairy Stores and Transport Farm Dairies General Dairy Manufacture (without heat treatment) Grade A product* Infant Formula Manufacture* *note: person must be recognised, or applying for recognition, in General Dairy Manufacture (with heat treatment) to include these Activities General Non-Dairy* (for all verifiers); or Limited - Bee Products; and Ante-mortem/Post-mortem examinations Aseptic processing and packaging BMS Depuration Thermal processing of low acid canned products *note: in some cases, recognition conditions may specify industry sector			
Section 5. Verifier Functions Tick all that apply.  Function  Dairy RMP Verification	Activity    Dairy Stores and Transport   Farm Dairies   General Dairy Manufacture (without heat treatment)   General Dairy Manufacture (with heat treatment)   Infant Formula Manufacture*   Infant Formula Manufacture*   *note: person must be recognised, or applying for recognition, in General Dairy Manufacture (with heat treatment) to include these Activities   General Non-Dairy* (for all verifiers); or   Limited - Bee Products; and   Ante-mortem/Post-mortem examinations   Aseptic processing and packaging   BMS Depuration   Thermal processing of low acid canned products *note: in some cases, recognition conditions may specify industry sector   Dairy Official Assurances			
Section 5. Verifier Functions Tick all that apply.  Function  Dairy RMP Verification  Non-Dairy RMP Verification	Activity  Dairy Stores and Transport Farm Dairies General Dairy Manufacture (without heat treatment) Grade A product* Infant Formula Manufacture* *note: person must be recognised, or applying for recognition, in General Dairy Manufacture (with heat treatment) to include these Activities General Non-Dairy* (for all verifiers); or Limited - Bee Products; and Ante-mortem/Post-mortem examinations Aseptic processing and packaging BMS Depuration Thermal processing of low acid canned products *note: in some cases, recognition conditions may specify industry sector			

Raw Milk Farm Dairies\* Raw Milk Depots\*

include these Activities

Transport, Depots and Export Loading Facilities

\*note: person must be recognised, or applying for recognition, in Dairy RMP Verification to

Question B: Are you applying for recognition as an evaluator?

Question D: Are you applying for recognition as a farm dairy assessor?				
<ul><li>Yes → Complete Section 6.</li><li>No → Continue to Question E.</li></ul>				
Section 6. Assessor Functio	ns and Activities table			
Function	Activity			
☐ Farm Dairy Assessment	Farm Dairies Raw milk RCS* US Grade A Farm Dairy* *note: person must be recognised in Farm D to include these Activities			
Question E: Are you app	olying for recognition as a non-dairy evaluator?			
<ul><li>Yes → Complete Section 7, th</li><li>No → Go to Question F.</li></ul>	en go straight to Section 11 if you aren't applying for anything else.			
	m Documentation Requirements plication will not be processed until all documents are received.			
☐ Police Vetting Service Request	& Consent Form (Appendix 1).			
Evidence you meet the requiren Processing.	nents of N3.1 of the Animal Product Notice: Production, Supply and			
☐ If you have applied for BMS depuration, Aseptic processing and packaging, and/or Thermal processing of low acid canned products, include evidence of completion of relevant courses listed in N3.3 of the Animal Product Notice: Production, Supply and Processing.				
Question F: Are you applying for recognition as a farm dairy assessor?				
<ul><li>Yes → Complete Section 8.</li><li>No → Go straight to Question G.</li></ul>				
Section 8. Farm Dairy Assessor Minimum Documentation Requirements  Send in all the required documents. Your application will not be processed until all documents are received.				
☐ Police Vetting Service Request & Consent Form (Appendix 1).				
Evidence of technical assessment to confirm you meet the requirements of N3.10 of the Animal Product Notice: Production, Supply and Processing.				

Question G: Are you applying for recognition as a dairy verifier, dairy evaluator or a non-dairy verifier?				
	o → Go straight to Se	ction 10.		
Section 9.	Verifier or Dairy E	valuator Minimum Documentation	Requireme	ents
	required documents. See N2. not be processed until all doc	3 of the Animal Product Notice: Production,	Supply and Pro	cessing for more information. Your
Dairy Dairy	not be processed until all dec	amonto dio rossivoa.		
Dairy RMP B	Evaluators	☐ Police Vetting Service Request	& Consent	Form (Appendix 1).
		requirements of N3.1 and N3.2 (as	Evidence of technical assessment to confirm you meet the requirements of N3.1 and N3.2 (as applicable) of the Animal Product Notice: Production, Supply and Processing.	
Dairy RMP/F	RCS Verifiers	☐ Police Vetting Service Request	& Consent	Form (Appendix 1).
		Evidence of technical assessment to confirm you meet the requirements of N3.6 and N3.7 (as applicable) of the Animal Product Notice: Production, Supply and Processing.		
	l Assurances	☐ Police Vetting Service Request & Consent Form (Appendix 1).		
Verifiers		Evidence of technical assessment to confirm you meet the requirements of Table 26C of the Animal Product Notice: Production, Supply and Processing.		
Non-Dairy				
Non-Dairy RMP/RCS Verifiers		Police Vetting Service Request & Consent Form (Appendix 1).		
		Evidence of technical assessment to confirm you meet the requirements of N3.6 and N3.8 (as applicable) of the Animal Product Notice: Production, Supply and Processing.		
Non-Dairy Official Assurances Verifiers		Evidence of technical assessment to confirm you meet the requirements of Table 27B of the Animal Product Notice: Production, Supply and Processing.		
Section 10. Non-dairy evalu	Recognised Agen uators are not required to com	cy Manager Statement uplete this section.		
the compete	I confirm that the agency referred to in Section 2 of this form has completed a thorough assessment of the competency of this applicant to perform functions in accordance with the documented procedures and I am satisfied that this person should be recognised to perform the functions and/or activities listed previously.			
Name	Job Title			
Signature			Date	

Section All applicar	n 11. App	olicant Statement e this section.				
I confirm that:						
	1. I am authorised to make this application as the Applicant or a person with legal authority to act on behalf of the Applicant; and					
		ition supplied in this applic	ation is truthful and accu	rate to the bes	st of my knowledge; a	nd
3. I	am of good	d character and reputation	; and			
i		other information I am awa and independence in carry				
Name				Job Title		
Signatu	ure			Date		
				1		
Section	n 12. MI	PI Service Charge				
	0	N PAYMENT THIS BECO	MES A TAX INVOICE	GST No: 6	4-558-838	
		Туре	Threshold	l	Fee (incl.GST)	
		Registration of Person	135 minute	es	\$194.06	
		Renewal /Amendments	90 minute	S	\$77.63	
assessi allowed PAYME Please approv	ment charge I for in the r ENT OPTIO attach your rals@mpi.g	NS: Payments comprising payment confirmation to the	hour will be applied when multiple fees must be some some some some it	n applications upported by a separately to	take longer than remittance advice.	
	CREDIT/DEBIT CARD (preferred option):					
1	1. To pay by credit card. Go to https://www.mpi.govt.nz/food-safety/payments and follow the instructions.					
I have attached my credit card payment receipt						
DIRECT CREDIT:  1. Pay into Bank Account no. 03 0049 0001709 002  2. In the 'Reference' details, put the surname of the applicant and also include either Company name/ ID / NZBN  3. Enter the date of deposit and your name (payer) on this form below:						
Date of D	Deposit		Your Name (Payer)			

Section 13. Final Checklist	
Have you:	
☐ Filled this form in completely?	
<ul> <li>Provided required documentation, including any evidence of approval of alternative courses? (where applicable)</li> <li>Read and signed the Applicant Statement, and had your manager complete the Recognised Agency Manager Statements? (where applicable)</li> </ul>	
☐ Indicated how the fee will be paid for this application?	

#### **Collection of Information**

#### **Collection of Personal Information**

Pursuant to Principle 3 of the Privacy Act 2020, we advise that:

- · This information is being collected for the purpose of recognising a person under the Animal Products Act 1999; and
- The recipient of this information, which is the agency that will collect and hold the information, is the Ministry for Primary Industries, PO Box 2526, Wellington 6140; and
- Some of the information collected will be displayed on a public register; and
- The collection of information is authorised under section 107 of the Animal Products Act 1999. The provision of this information is necessary in order to process an application for recognition; and
- The supply of this information is voluntary; however, failure to provide the requested information is likely to result in a return of this application form to the applicant, and may ultimately result in a refusal to recognise in accordance with section 109 of the Animal Products Act 1999; and
- Under Principles 6 and 7 of the Privacy Act 2020, you have the right of access to, and correction of, any personal information that you have provided.

#### **Collection of Official Information**

All information provided to the Ministry for Primary Industries is official information and may be subject to a request made under the Official Information Act 1982.

If a request is made under that Act for information you have provided in this application, the Ministry for Primary Industries will consider any such request, taking into account its obligations under the Official Information Act 1982 and any other applicable legislation.



# **Vetting Service Request & Consent Form**

**Section 1: Approved Agency to complete** (For more information please see the <u>Guide to Completing the Consent Form</u> - http://www.police.govt.nz/advice/businesses-and-organisations/vetting/forms-and-guides)

Name of Approved Agency submitting vetting request:				
Name of Applicant to be	vetted:			
Description of Applicant	's role:			
Applicant's purpose				
☐ Employee	Contractor/Consultant	Volunteer	Prosecution	
☐ Vocational Training	Licence/Registration	Visa/Work Permit	Other	
What group(s) will the app	licant have contact with in their role f	or your agency?		
Children/Youth	☐ Elderly	Other Vulnerable Adults	Other	
What is the applicant's prin	nary role for your agency?			
Caregiving (Children)	Caregiving (Vulnerable adults)	Healthcare	Education	Othe
Will the role take place in t	he applicant's home?			
Yes No				
	inteer or paid for their role?			
Paid Volunteer				
Yes: Core childrens work	under the Children's Act 2014 (CA)?	Yes: Non-core childrens w	orker	
_	ther legislation/optional/standard Poli	_	OTKE	
	·			
New Children's Worker	ren's Act request, please specify the c Existing Children		CA Renewal	
			<u> </u>	
Evidence of identity (to b	e completed by agency representative	e/delegate or identity referee - s	see guide for details)	
A primary ID has been	sighted (Mandatory)	A secondary ID has been	n sighted (Mandatory)	
One form of ID is phot	ographic (Mandatory)	Evidence of name chang	ge has been sighted (if a	ipplicable)
OR: If your organisation is able to accept a verified RealMe identity then:				
An assertion of a RealMe identity has been received (see guide for further information).				
In making this request I con	ofirm that:			
In making this request, I confirm that:  ✓ I have complied and will comply with the <u>Approved Agency Agreement</u>				
✓ I am satisfied with the correctness of the applicant's identity				
✓ I have obtained the Applicant's authorisation to submit this vetting request as set out in section 3 of this form				
Approved Agency Authorised Representative:				
Name:		Date:		
Signature:		Electronic Signature		



# **Vetting Service Request & Consent Form**

Name of Approved Agency submitting vetting request:

Section 2: Applicant to complete and return to Approved Agency					
*Denotes a manda	tory field				
Personal Info	rmation				
Details (note: the n	ame you are most con	nmonly known by	is your primary name)		
*Family name (Prim	ary):				
Given name(s):					
*Gender:	(M) (F	(Other)	*Date of birth: (dd/mm/yyyy)		
Place of birth: (Town/City/State)					
*Country of birth					
NZ Driver Licence n	umber:				
Previous names: If a	pplicable, please inclu	de other alias or	alternate names; married name	if not your primary name;	
previous/maiden/na Family name	me changed by deed	ooll or statutory of First name	declaration.  Middle names		
ranniy name		riist iidiile	Wildule Harries		
Permanent Residential Address					
*Number/Street:					
Suburb:			Post Code:		
*City/Town/ Rural District:					



# **Vetting Service Request & Consent Form**

## Section 3: Applicant to complete and return to Approved Agency

### **Consent to release information**

- 1. The New Zealand Police may release any information they hold if relevant to the purpose of this vetting request. This includes:
  - Conviction histories and infringement/demerit reports
  - Active investigations, charges and warrants to arrest
  - Charges that did not result in a conviction including those that were acquitted, discharged without conviction, diverted or withdrawn
  - Any interaction I have had with New Zealand Police considered relevant to the role being vetted, including investigations that did not result in prosecution
  - Information regarding family violence where I was the victim, offender or witness to an incident or offence, primarily in cases where the role being vetted takes place in a home environment where exposure to physical or verbal violence could place vulnerable persons at emotional or physical risk.
  - Information subject to name suppression where that information is necessary to the purpose of the vet
- !. If I am eligible under the Criminal Records (Clean Slate) Act 2004, my conviction history will not be released unless:
  - a. Section 19(3) of the Clean Slate Act applies to this request (exceptions to the clean slate regime)
  - b. Section 31(3) of the Children's Act 2014 applies to this request (safety checks of core children's workers).
  - c. The vetting request is made by an individual for the purpose of an overseas Visa/Work Permit as a Privacy Act request authorising the vetting result to be provided directly to the relevant embassy, high commission or consulate.

Please see the guide for more information regarding the Clean Slate legislation.

- 3. The Police Vetting Service may disclose newly-obtained relevant information to the Approved Agency after the completion of the Police Vet in the following circumstances:
  - The disclosure of the newly-obtained information is considered to be justified under the Privacy Act 1993 (if it had existed or been available at the time of the Police vet, it would have been disclosed); and
  - The Police Vetting Service has taken steps to confirm that the purpose of the Police vet still exists e.g. that I got the role which required a Police vet and am still employed or engaged in it.

The Vetting Service will endeavour to notify you prior to the disclosure.

- 4. Information provided in this consent form may be used to update New Zealand Police records.
- 5. I am entitled to a copy of the vetting result released to the Approved Agency (to be provided by the agency) and can seek a correction by contacting the Vetting Service.
- 6. The Approved Agency will securely dispose of this consent form, copies of identification documents and the vetting result within 12 months of receiving the result unless a longer retention period is required by legislation.
- 7. I may withdraw this consent, prior to Police's disclosure of the vetting result, by notifying the Approved Agency.

For further information, please see the Guide to Completing the Consent Form.

Applicant's Authorisation:			
✓ I confirm that the information I have provided in this form relates to me and is correct.			
✓ I have read and understood the information above.			
✓ I authorise New Zealand Police to disclose any personal information it considers relevant to my application (as described above) to the Approved Agency making this request for the purpose of assessing my suitability at any time.			
Name:	Date:		
Signature:	Electronic Signature		